

**History of the Foreign Relations of the People's Republic of China, 1949–1989**

Spring 2020  
Tu 9:00–11:50, Room 1409

INSTRUCTOR: David Cheng CHANG  
EMAIL: [changcheng@ust.hk](mailto:changcheng@ust.hk)

OFFICE: 2350  
OFFICE HOURS: TBD

**COURSE DESCRIPTION**

This course studies the history of the foreign relations of the People's Republic of China. To provide the context, it first examines key events leading up to the Communist victory in 1949, including WWII and the Chinese Civil War. We will study major events and periods during the Cold War, including, among others, the Korean War, the Sino-Indian conflict, the Sino-Soviet split, Cultural Revolution diplomacy, China's role in the Indo-China Wars, and the U.S.-China rapprochement. Newly declassified archival materials will be presented, and critical examination and rethinking of PRC history will be introduced for discussion.

**REQUIRED TEXTS**

1. John Pomfret. *The Beautiful Country and the Middle Kingdom: America and China, 1776 to the Present*. New York: Henry Holt and Company, 2016.
2. 呂迅. 《大棋局中的國共關係》. 社會科學文獻出版社, 2015.
3. 沈志華主編. 《中蘇關係史綱：1917–1991年中蘇關係若干問題再探討》. 北京：社會科學文獻出版社, 2011/2016.

\* All three books are available for purchase at the university bookstore.

\* One copy of each book has been placed on 2-hour reserve in the library.

\* All additional documents in PDF are available under “course content” on CANVAS.

\* Pomfret's book can be bought at Amazon.com and [www.bookdepository.com](http://www.bookdepository.com).

**RECOMMENDED TEXTS**

- Chang, David Cheng. *The Hijacked War: The Story of Chinese POWs in the Korean War*. Stanford University Press, 2020.
- CHEN Jian. *Mao's China and the Cold War*. Chapel Hill, NC: University of North Carolina Press, 2001.

**COURSE REQUIREMENTS**

Lecture attendance is required, as the lectures include materials not provided in textbooks. Students are expected to have **completed all readings assigned for that day prior to the class meeting**.

**ASSESSMENTS**

- |  |                           |
|--|---------------------------|
| 1. Attendance, participation & pop quizzes | 10% (PRS app is required) |
| 2. Weekly timeline & document notes: 2x10  | 20%                       |
| 3. Book & film reviews: 5x2                | 10                        |
| 4. Mid-term examination                    | 20%                       |

5. Final examination: 20%
6. Oral History interview, timeline, report: 20%

1. **Attendance and Pop quizzes.** Instead of taking attendance, several pop quizzes will be given in the first five minutes of randomly chosen sessions. These straightforward quizzes are designed to motivate you to keep up with the **readings of the day**.
2. **Ten weekly timeline/chronology and document summaries.** Before each class, you should have completed all readings assigned to the week. You should hand in a timeline with at least **TEN events and the summaries of each document**. For the timeline, you can draw from the textbooks, required readings, and other sources. Format and length: 2 pages (on a double-sided sheet), **single-spaced**, Times New Roman, **10-point**. The timeline can help bring some order to the complex sequence of events we will be studying. By the end of the semester, you should have **combined all timelines--and you may incorporate entries from your classmates' timelines--**into one file and submit by to Canvas-Assignments. (Language: English or/and Chinese).
3. Two book/film reviews.
4. **The mid-term and final exams** contain map exercises, photo and key term identifications/short answers, multiple-choice questions, and a short essay. A study guide will be provided before the exam. **LANGUAGE:** The exams will be written in English. You may choose to answer in English or Chinese, but be consistent.
5. **Oral history interview project.**
  - a) Each student can conduct an oral history interview on one topic of your choice. You are responsible for locating the interviewee and conducting the interview.
  - b) Audio or video record the interview with the interviewee's consent.
  - c) The final oral history interview report should situate your interviewee's story into a larger historical context, and you should explain how it sheds light on the history of twentieth-century China or the broader world.
  - d) For the final report, while you will draw upon the oral history account as the primary source, you should cite at least one scholarly book, such as one of those listed on this syllabus, as secondary sources.
  - e) Your timeline for the class should be customized to include key events in your interviewee's life.
  - f) Format and length: 4 to 6 pages, double-spaced, 12-point.

## ACADEMIC INTEGRITY

This course enforces a zero-tolerance policy on cheating. The discovery of cheating on an exam will result in an automatic F grade in the course, and the case will be reported to university administration.

## LECTURES AND READING SCHEDULE:

### Week 1

教學目的、內容與方法：珍珠港事件前的中美、中蘇關係

必讀：

- 杨奎松, <从历史的眼光来看待中国的民族主义问题>, 《国际政治研究》, 2006年01期, 頁 88-95.
- 《中蘇關係史綱》, pp. 3-76 (2011 版)/3-102 (2016 版) [難以確定的對手 1917-1949].
- Pomfret, Ch. 18 Bloody Saturday; Ch. 19 Little America (pp. 245-279)

## Week 2

太平洋戰爭爆發、史迪威使華、中緬印戰區、開羅會議、中共與美國接觸

必讀：

- 呂迅, pp. 1–76.
- Pomfret, Ch. 20 Burmese Days; Ch. 21 Dangerous Liaisons (pp. 280–305)

雅爾塔密約、中蘇條約、東北

必讀：

- 《中蘇關係史綱》，pp. 77–90 (2011)/103–120 (2016) [新疆、雅爾塔密約、《中蘇友好同盟條約》、東北]
- 呂迅, pp. 77–149.
- Pomfret, Ch. 23 The East is Red; Ch. 24 Key to the Kingdom; Ch. 25 The Beginning of the End (pp. 320–355)

## Week 3

馬歇爾使華、國共內戰與美蘇冷戰的起源

必讀：

- 《中蘇關係史綱》，pp. 90–107 (2011)/121–148 (2016) (中國內戰與中蘇國共關係).
- 楊奎松, “關於解放戰爭中的蘇聯軍事援助問題——兼談治學態度並答劉統先生,” 《近代史研究》，2001年01期, pp. 285–306.
- 呂迅, pp. 150–210.
- Pomfret, Ch. 26 Mission Impossible (pp. 356–363)
- 齊邦媛, 《巨流河》(台北: 天下遠見, 2009), pp. 199–220.
- 龍應台, 《大江大海 1949》(香港: 天地圖書 2015), pp. 267–305 (Ch. 41–49)

“Lost Chance in China” or the “Loss of China”?

必讀：

- John S. Service and Joseph Esherick, *Lost Chance in China: The World War II Despatches of John S. Service* (New York: Random House, 1974), 178–182, 303, 308–313.
- Chen Jian, pp. 38–48 (The Myth of America’s Lost Chance in China).
- Pomfret, Ch. 27 A Third Force (pp. 364–378)
- 呂迅, pp. 211–286.
- \* 楊奎松, “美蘇冷戰的起源及對中國革命的影響”, 《歷史研究》，1999年第5期, pp. 5–22.

## Week 4

美國「袖手政策」，中共「一邊倒」與《中蘇友好同盟互助條約》

必讀：

- United States Department of State, *The China White Paper, August 1949* (Stanford, CA: Stanford University Press, 1967).
  - Lyman Van Slyke’s Introduction
  - Dean Acheson, “Letter of Transmittal” (pp. III–XVII)
- 《中蘇關係史綱》，pp. 111–126 (2011)/149–169 (2016) (同盟條約的簽訂及中蘇利益衝突).
- 呂迅, pp. 287–371.
- Pomfret, Ch. 28 Hate America (379–388)

朝鮮戰爭與「台灣地位未定論」

必讀:

- Truman's news conference remarks, January 5, 1950.  
<http://www.presidency.ucsb.edu/ws/?pid=13678#axzz1r3mU8S3>
- Truman's statement on the situation in Korea, June 27, 1950.  
<http://www.presidency.ucsb.edu/ws/index.php?pid=13538&st=&st1=#axzz1r3mU8S23>
- 沈志華,《最後的天朝》, pp. 189–276 (朝鮮戰爭: 朝鮮問題主動權轉移)
- 《中蘇關係史綱》, pp. 127–138 (2011)/170–186 (2016) (朝鮮戰爭與中蘇同盟的實質性進展).

## Week 5

朝鮮戰爭停戰談判與戰俘問題

必讀:

- 
- David C. Chang, *The Hijacked War: The Story of Chinese POWs in the Korean War*
- 常成, <「新中國」的叛逃者: 韓戰反共戰俘的生死逃亡路, 1950–1954>, 《漢學研究》2016年第34卷第2期, pp. 245–280.
- 楊奎松,「中國對朝鮮停戰問題態度的變化」, (韓)《6•25 戰爭 50 週年學術研討會論文集》, 2002. (<http://www.usc.cuhk.edu.hk/PaperCollection/Details.aspx?id=3008>)

## Week 6

1954 年日內瓦會議與第一次印度支那戰爭

必讀:

- Chen Jian, pp. 118–144. (China and the First Indochina War, 1950–1954)
- Pomfret, pp. 389–414.

香港與冷戰

必讀:

- Tracy Steele: “Hong Kong and the Cold War in the 1950s”, in Priscilla Roberts and John Carroll, eds., *Hong Kong in the Cold War*, Hong Kong University Press, 2016, pp. 92–116.
- 葉漢明: <香港與中國四十年代民主運動的邊緣化>, 香港中文大學歷史系主編:《史藪》, 1998 年第 3 卷, 頁 323–356。
- 陳秉安:《大逃港(修訂本)》, 香港中和出版有限公司, 2013 年, 頁 86–94; 頁 224–226。
- \*Optional: 楊孟軒: <調景嶺: 香港「小臺灣」的起源與變遷>,《台灣史研究》, 2011 年第 18 卷第 1 期, 頁 133–183。

## Week 7

1956 蘇共二十大、赫魯曉夫「秘密報告」、波匈事件、1957 年莫斯科會議

必讀:

- 《中蘇關係史綱》, pp. 139–205 (2011) / 187–273 (2016) (蘇共二十大及其對中蘇關係的影響, 蘇聯經濟援助與中共的政治支持; 蘇聯對中國核武器研制的援助和限制; 毛澤東在莫斯科會議獨領風騷).
- Pomfret, Ch. 31 Dead Flowers (415–425); Ch. 32 Bloody Marys (425–451); Ch. 33 Pictures of Chairman Mao

## Week 8

## 第一、第二次台海危機期間的中美蘇三邊互動

必讀:

- 楊奎松, "毛澤東與兩次台海危機——20 世紀 50 年代中後期中國對美政策變動原因及趨向," 《史學月刊》, 2003 年 第 11, 12 期.
- 《中蘇關係史綱》, pp. 206—251 (2011)/274—335 (2016) (「大躍進」、人民公社與中蘇對內政策分歧; 軍事合作、炮擊金門與中蘇對外政策分歧).

## 中印邊界衝突與中蘇關係惡化

必讀:

- 《中蘇關係史綱》, pp. 252—275 (2011)/336—368 (2016) (中蘇兩黨在理念和政策上難以彌合的裂痕); pp. 361—365 (在中印邊界衝突問題上的鬥爭).
- 戴超武, 「關於 1962 年中印邊界衝突和中蘇分裂研究的若干問題」, 《當代世界與社會主義》, 2010 年第 4 期, pp. 180—185.

## Week 9

### 中蘇分裂

必讀:

- 《中蘇關係史綱》, pp. 278—374 (2011)/ 371—501 (2016) (3.1 中蘇分歧公開化與短暫的緩和; 3.2 兩黨關係破裂與兩國關係惡化).

### 中蘇對抗與珍寶島事件

必讀:

- 《中蘇關係史綱》, pp. 375—447 (2011) / 502—604 (2016) (3.3 中蘇同盟的徹底瓦解; 3.4 國際共運分裂與中蘇走向對抗)
- 程映虹, <向世界輸出革命: “文革”在亞非拉的影響初探>, 《當代中國研究》2006 年第 3 期 (總第 94 期)

## Week 10

### 中美大使級會談, 中美在印度支那的對抗

必讀:

- Chen Jian, pp. 205—237 (China's Involvement in the Vietnam War, 1964—1969)
- 夏亞峰, <重評 1961—1968 年的中美大使級會談>, 《冷戰國際史研究》第四輯 (2007 年春季號).
- Pomfret, pp. 426—451.

### 乒乓外交、基辛格密訪、聯合國席位、尼克松訪華

必讀:

- Chen Jian, pp. 238—276 (The Sino-American Rapprochement)
- Pomfret, Ch. 35 Not Because We Love Them (452—476)

## Week 11

### 中美關係正常化與《台灣關係法》

必讀:

- Pomfret, Ch. 37 We Are Very Sexy People (477—499)

### 六七暴動與 70 年代香港政治文化

必讀:

- John Carroll, *A Concise History of Hong Kong*, Lanham: Rowman&Littlefield, 2007, pp.140-189.

(繁體中文版: 高馬可: 《香港簡史——從殖民地到特別行政區》, 林立偉譯, 香港: 中華書局, 2013年, 頁176-241。)

- 趙永佳、呂大樂: 《導言》, 載趙永佳、呂大樂、容世誠編: 《胸懷祖國: 香港「愛國左派」運動》, 香港: 牛津大學出版社, 2014年, 頁1-12。
- Cheung Ka-wai, *Hong Kong's Watershed: The 1967 Riots*, Hong Kong University Press, 2009, pp.1-8.  
(繁體中文版: 張家偉: 《六七暴動: 香港戰後歷史的分水嶺》, 香港大學出版社, 2012, 頁1-18。)
- \*Optional: Lam Wai-man, *Understanding the Political Culture of Hong Kong: The Paradox of Activism and Depoliticization*, London: M.E. Sharpe, pp.187-210.

## Week 12

1979年中越邊境戰爭

必讀:

- Xiaoming Zhang, "China's 1979 War with Vietnam: A Reassessment," *The China Quarterly*, vol. 184, December 2005, pp. 851-874.

中美關係「蜜月期」、中蘇關係正常化

必讀:

- Pomfret, Ch. 39 Nobody Is Afraid of Anybody (500-509); Ch. 40 Deathsong (510-520).
- 《中蘇關係史綱》, pp. 504-530 (2011)/677-713 (2016).
- 陶文釗, 「中美《八·一七公報》-艱難的妥協,」《社會科學戰線》, 2009年第8期, pp. 1-11.

## Week 13

天安門事件

必讀:

- Pomfret, pp. 510-520.
- Documentary Film: *Tiananmen: The Gate of Heavenly Peace* (1995).

思考與展望

必讀:

- Chen Jian, pp. 1-15 (Introduction); pp. 277-283 (The Legacies of China's Cold War Experiences).
- 《中蘇關係史綱》, pp. 531-540/714-727 (中蘇同盟破裂的內在原因).
- 楊奎松, 「新中國的革命外交思想與實踐,」《史學月刊》, 2010年第02期, pp. 62-74.
- Pomfret, pp. 622-637.

**FINAL EXAM (TBA)**