

Final Syllabus

HUMA5590: Southwest China and Southeast Asia

(中國地方社會契約文書與秩序, 600~1911)

Instructor : Professor Christian Daniels, Room 3345, e-mail: cdani@ust.hk

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Course Description:

This course explores new ways of understanding the history of Southwest China and mainland Southeast Asia (SEA), 8th and 19th centuries. In the past, Southwest China, particularly Yunnan, has been conceptualised as an area both remote from the Chinese political centre and isolated from the outside world, but an analysis of historical data concerning the formation of kingdoms and polities, trade routes, and the movement of people offers a different interpretation. It demonstrates that far from being isolated, the two areas overlap, and in reality are bonded by cultural and ethnic ties. Analysis of historical data provides a vibrant perspective for understanding Southwest China, not as an area at the margins of Chinese and SEA history, but as a vital link in a chain that connects SEA with the worlds of China and Tibet. Emphasis on interconnection highlights a fundamental relationship between both regions, and offers students a framework for understanding the increased presence of China in mainland SEA in recent years. [C]

Intended Learning Outcomes:

On completion of this course, students will be able to: 1. demonstrate enhanced critical understanding of the history of Southwest China and mainland Southeast Asia in pre-modern times; 2. critically understand the factors that have shaped China's relations with the kingdoms and polities of mainland SEA.

Course Outline (with some selected readings):

A combination of lectures, seminars and student presentations throughout the semester

Week 1. Introduction and Orientation

Week 2. Geography, polity formation and ethnic groups

Lieberman, Victor. 2003. *Strange Parallels: Southeast Asia in Global Context, c.800–1830*, Vol. 1: *Integration on the Mainland*. Cambridge: University of Cambridge Press.

Week 3. Classical states of Angkor and Pagan

Lieberman, Victor. 2003. *Strange Parallels: Southeast Asia in Global Context, c.800–1830*, Vol. 1: *Integration on the Mainland*. Cambridge: University of Cambridge Press.

Aung-Thwin, Michael & Maitrii Aung-Thwin, 2012, *A History of Myanmar Since Ancient Times: Traditions and Transformation*, London: Reaktion Books.

Week 4. Nanzhao Kingdom

Christian Daniels “Nanzhao as a Southeast Asian Kingdom, c. 738-902”. *Journal of Southeast Asian Studies*, volume 52, issue 2. (June 2021), pp. 188-213.

李霖燦著《南詔大理國新資料的綜合研究》臺北，國立故宮博物院，1982年。

Week 5. Dali kingdom

古正美著《張勝溫梵畫卷研究：雲南后里國段智興時代的佛教畫像》，(北京：民族出版社，2018)

李霖燦著《南詔大理國新資料的綜合研究》臺北，國立故宮博物院，1982年。

Mid-Course Oral Presentation

Week 6. The Mongol Conquest of Yunnan and Mainland SEA

John E. Herman, *Amid the Clouds and Mist: China's Colonisation of Guizhou, 1200-1700*, Harvard University Asia Center, 2007, pp.45~62.

Stuart-Fox, Martin. 2003, *A Short History of China and Southeast Asia: Tribute, Trade and Influence*, Allen and Unwin, pp.52~72.

Fang Hui 方慧. 2001, 大理总管段氏世次年历及其与蒙元政权关系研究, 云南教育出版社.

Week 7. Chinese dynastic control of Southwest China

John E. Herman, "The Cant of Conquest: Tusi Offices and China's Political Incorporation of the Southwest Frontier" in Pamela K. Crossley, Helen F. Siu and Donald S. Sutton, *Empire at the Margins Culture, ethnicity, and Frontier in Early Modern China*, University of California Press, 2006, pp. 135-168.

John E. Herman, *Amid the Clouds and Mist: China's Colonisation of Guizhou, 1200-1700*, Harvard University Asia Center, 2007

Week 8. Autonomous Tai states in Yunnan and SEA

Daniels, Christian. 2000 "The Formation of Tai Polities between the 13th and 16th Centuries: The Role of Technological Transfer." *Memoirs of the Toyo Bunko* 58 (2000), pp. 51–98.

Daniels, Christian 2013, "Blocking the Path of Feral Pigs with Rotten Bamboo: The Role of Upland Peoples in the Crisis of a Tay Polity in Southwest Yunnan, 1792 to 1836." *Southeast Asian Studies*, Vol. 2, No. 1, pp. 133–170.

Stuart-Fox, Martin. 1998. *The Lao Kingdom of Lān Xāng: Rise and Decline*. Bangkok: White Lotus.

Wyatt, David K., 1984, *Thailand: A Short History*. New Haven and London: Yale University Press.

Week 9. The Toungoo Conquest of mainland SEA

Lieberman, Victor. 1993. "Was the Seventeenth Century a Watershed in Burmese History", Anthony Reid Ed., *Southeast Asia in the Early Modern Era: Trade, Power, and Belief*, Cornell University Press, Ithaca and London.

Lieberman, Victor. 2003. *Strange Parallels: Southeast Asia in Global Context, c.800–1830*, Vol. 1: *Integration on the Mainland*. Cambridge: University of Cambridge Press.

Aung-Thwin, Michael & Maitrii Aung-Thwin, 2012, *A History of Myanmar Since Ancient Times: Traditions and Transformation*, London: Reaktion Books

Week 10. Han Migration and Economic and Social Change in the Uplands /

Selection of term paper topics

Daniels, Christian 2013, "Introduction: Upland Peoples in the Making of History in Northern Continental Southeast Asia." *Southeast Asian Studies*, Vol. 2, No. 1, pp. 5–27.

Scott, James C. 2009. *The Art of Not Being Governed: An Anarchist History of Upland Southeast Asia*. New Haven and London: Yale University Press

Week 11. **The Moslem Rebellion in Yunnan, 1856-1873**

Atwill, David G. 2005. *The Chinese Sultanate: Islam, Ethnicity, and the Panthay Rebellion in Southwest-China, 1856–1873*. Stanford: Stanford University Press.

Benedict, Carol, 1996, *Bubonic Plague in Nineteenth-Century China*, Stanford: Stanford University Press.

Week 12. European Colonies and Independent states

Evans, Grant. 2002. *A Short History of Laos: The Land in Between*. Chiang Mai: Silkworm Books.

Aung-Thwin, Michael & Maitrii Aung-Thwin, 2012, *A History of Myanmar Since Ancient Times: Traditions and Transformation*, London: Reaktion Books

Wyatt, David K., 1984, *Thailand: A Short History*. New Haven and London: Yale University Press.

Week 13. **Term research paper and final presentation**

Course Assessment:

- Participation and contribution in class (20%): to assess students' understanding of basic concepts and theories presented by the instructor and in the required readings
- Mid-Course Presentation (30).
- Term Research paper and Final Presentation (50%): students are expected to consolidate knowledge learned in this course and formulate

arguments about the use of documents for historical research in their term papers. In addition to handing in the written version students are also required to make an oral presentation of the contents of the paper in class.

Reading List:

- Aung-Thwin, Michael & Maitrii Aung-Thwin, 2012, *A History of Myanmar Since Ancient Times: Traditions and Transformation*, London: Reaktion Books.
- Atwill, David G. 2005. *The Chinese Sultanate: Islam, Ethnicity, and the Panthay Rebellion in Southwest-China, 1856–1873*. Stanford: Stanford University Press.
- Benedict, Carol, 1996, *Bubonic Plague in Nineteenth-Century China*, Stanford: Stanford University Press.
- Evans, Grant. 2002. *A Short History of Laos: The Land in Between*. Chiang Mai: Silkworm Books.
- Daniels, Christian. 2000 “The Formation of Tai Polities between the 13th and 16th Centuries: The Role of Technological Transfer.” *Memoirs of the Toyo Bunko* 58 (2000), pp. 51–98. Reprinted in *China and Southeast Asia*, ed. Geoff Wade. Routledge Library on Southeast Asia, Volume 2 *Southeast Asia and Ming China (From the Fourteenth to Sixteenth Century)*. Routledge, London and New York, 2008, pp. 295–343.
- Daniels, Christian 2013, “Introduction: Upland Peoples in the Making of History in Northern Continental Southeast Asia.” *Southeast Asian Studies*, Vol. 2, No. 1, pp. 5–27.
- Daniels, Christian 2013, “Blocking the Path of Feral Pigs with Rotten Bamboo: The Role of Upland Peoples in the Crisis of a Tay Polity in Southwest Yunnan, 1792 to 1836.” *Southeast Asian Studies*, Vol. 2, No. 1, pp. 133–170.
- Daniels, Christian 2013, “Nanzhao as a Southeast Asian Kingdom, c. 738-902”. *Journal of Southeast Asian Studies*, volume 52, issue 2. (June 2021), pp. 188-213.
- Fang Hui 方慧. 2001, *Dali Zongguan Duanshi shici nianli ji qi yu MengYuan zhengquan guanxi yanjiu* 大理总管段氏世次年历及其与蒙元政权关系研究

(“A Chronology of the Duan Family Route Commanders of Dali and Their Relations with the Mongol Yuan Regime”). Kunming: Yunnan Jiaoyu Chubanshe 云南教育出版社.

Forbes, Andrew; and Henley, David. 1997. *The Haw: Traders of the Golden Triangle*. Bangkok: Teak House.

Giersch, C. Patterson. 2011. “Cotton, Copper, and Caravans: Trade and the Transformation of Southwest China”, in *Chinese Circulations: Capital, Commodities, and Networks in Southeast Asia*, edited by Eric Tagliacozzo and Wen-Chin Chang, pp. 37–61. Durham and London: Duke University Press.

Giersch, C. Patterson. 2006. *Asian Borderlands: The Transformation of Qing China's Yunnan Frontier*. Cambridge: Harvard University Press.

古正美著《張勝溫梵畫卷研究：雲南后里國段智興時代的佛教畫像》，(北京：民族出版社，2018)

Grabowsky, Volker. 2003. “Cao Fa Dek Noi and the Founding Myth of Chiang Khaeng”, in *Cultural Diversity and Conservation in the Making of Mainland Southeast Asia and Southwestern China: Regional Dynamics in the Past and Present*, edited by Yukio Hayashi and Thongsa Sayavongkhamdy, pp. 95–143. Kyoto and Bangkok: Center for Southeast Asian Studies.

Grabowsky, Volker; and Renoo Wichasin. 2008. *Chronicles of Chiang Khaeng: A Tai Lü Principality of the Upper Mekong*, pp. 11–12. Manoa: Center for Southeast Asian Studies, University of Hawai'i.

John E. Herman, *Amid the Clouds and Mist: China's Colonisation of Guizhou, 1200-1700*, Harvard University Asia Center, 2007

John E. Herman, “The Cant of Conquest: Tusi Offices and China's Political Incorporation of the Southwest Frontier” in Pamela K. Crossley, Helen F. Siu and Donald S. Sutton, *Empire at the Margins Culture, ethnicity, and Frontier in Early Modern China*, University of California Press, 2006, pp. 135-168.

Hill, Ann Maxwell. 1998. *Merchants and Migrants: Ethnicity and Trade among the Yunnanese Chinese in Southeast Asia*. Yale Southeast Asia Studies Monograph Series, No. 47. New Haven: Southeast Asia Studies, Yale University.

Leach, E.R. 1954. *Political Systems of Highland Burma: A Study of Kachin Social Structure*. London: G.Bell and Sons.

李霖燦著《南詔大理國新資料的綜合研究》臺北，國立故宮博物院，1982年。

- Lieberman, Victor. 1993. "Was the Seventeenth Century a Watershed in Burmese History", Anthony Reid Ed., *Southeast Asia in the Early Modern Era: Trade, Power, and Belief*, Cornell University Press, Ithaca and London.
- Lieberman, Victor. 2003. *Strange Parallels: Southeast Asia in Global Context, c.800–1830*, Vol. 1: *Integration on the Mainland*. Cambridge: University of Cambridge Press.
- Liew-Herres, Foon Ming; Grabowsky, Volker; and Renoo Wichasin. 2012. *Chronicle of Sipsòng Panna: History and Society of a Tai Lü Kingdom, Twelfth to Twentieth Century*. Chiang Mai: Mekong Press.
- Scott, James C. 2009. *The Art of Not Being Governed: An Anarchist History of Upland Southeast Asia*. New Haven and London: Yale University Press.
- Stuart-Fox, Martin. 1998. *The Lao Kingdom of Lān Xāng: Rise and Decline*. Bangkok: White Lotus.
- Wyatt, David K., 1984, *Thailand: A Short History*. New Haven and London: Yale University Press.